



**DG-3542**

**Third Year B.B.A. (CBCS) (Sem. V) Examination**

**March / April - 2016**

**Stock Exchange & Portfolio Management - I**

Time : 2 Hours]

[Total Marks : 50

**Instructions :**

(1)

नीचे दृष्टावेव निशानीवाणी विगतो उत्तरवडी पर अवश्य लपवी. Fillup strictly the details of signs on your answer book.	Seat No. :
Name of the Examination :	<input type="text"/>
<input type="text" value="THIRD YEAR B.B.A. (CBCS) (SEM. V)"/>	<input type="text"/>
Name of the Subject :	<input type="text"/>
<input type="text" value="STOCK EXCHANGE &amp; PORTFOLIO MANAGEMENT - I"/>	<input type="text"/>
Subject Code No. : <input type="text" value="3"/> <input type="text" value="5"/> <input type="text" value="4"/> <input type="text" value="2"/>	<input type="text"/>
Section No. (1, 2,.....): <input type="text" value="Nil"/>	<input type="text"/>
	Student's Signature

(2) Substantiate your answers with examples and diagrams, wherever applicable

- 1 Explain following terms : (any five) 10
- (a) Long and short position
  - (b) Group Z and F securities
  - (c) NAV and ETF
  - (d) Lead manager and Red Herring prospectus
  - (e) Put and call option
  - (f) Credit rating

- 2 (a) Write a short note on various indices of NSE or BSE. 7
- (b) Differentiate between primary and secondary market. 6

**OR**

- 2 (a) How is a rights issue used in raising funds from capital market? Explain. 7
- (b) Draw the structure of capital market and explain its importance. 6
- 3 (a) What is a mutual fund? Discuss the types of mutual funds. 7
- (b) Explain clearing and rolling settlement process on a stock exchange. 6

- 3 (a) What is dematerialization and rematerialization? **7**  
Explain the process of dematerialization of shares.
- (b) What is margin? Explain various types of margin, **6**
- 4 Attempt the following : (Any two) **14**
- (a) Write a short note on growth of derivative market in India
- (b) What is a derivative? Discuss the difference between spot and future market.
- (c) Speculators take large risks in derivative markets. Explain.
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